

**SAUVOLA SEGMENTATION AND SUPPORT  
VECTOR MACHINE-SALP SWARM  
ALGORITHM APPROACH FOR IDENTIFYING  
NUTRIENT DEFICIENCIES IN CITRUS  
RETICULATA LEAVES**

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SAUVOLA SEGMENTATION AND SUPPORT VECTOR MACHINE-SALP  
SWARM ALGORITHM APPROACH FOR IDENTIFYING NUTRIENT  
DEFICIENCIES IN CITRUS RETICULATA LEAVES

LIA KAMELIA

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## ABSTRACT

Machine learning and image processing methods can effectively detect nutrient deficiencies in citrus trees, addressing the challenge of accurately identifying shortages that can impair crop health and productivity. Traditional methods often rely on expert visual assessments, which are labour-intensive, subjective, and time-consuming. The proposed method integrates colour and texture feature-based image analysis with machine learning algorithms for classification. The process begins with acquiring image data, which is categorized into four classes: nitrogen (N) deficiency, phosphorus (P) deficiency, potassium (K) deficiency, and normal. In total, 1,200 images are collected. Next, file sizes are reduced using lossless compression methods, achieving a 96.99% reduction. The second phase involves image segmentation using the Sauvola method. Following this, colour and texture feature extraction is performed. Colour features are extracted in the Hue (H), Saturation (S), and Value (V) colour space, while texture features are obtained using the Grey-Level Co-Occurrence Matrix (GLCM) method. This combination of colour and texture features results in various metrics, including mean, dissimilarity, skewness, angular second moment, variance, entropy, maximum probability, contrast, correlation, energy, and homogeneity, which are used for classification. Both Support Vector Machine (SVM) and Artificial Neural Network (ANN) methods are compared for classification. The Sauvola method combined with ANN achieves the highest accuracy of 93.75%. In the next phase, the datasets are optimized using the Salp Swarm Algorithm (SSA), which improves classification accuracy. With SSA optimization, the Sauvola method combined with SVM reaches an accuracy of 99.58%, surpassing other methods that use image processing and ANN classification. Expert validation is utilized to evaluate and validate the effectiveness of the proposed method and confirm the system's accuracy at 95%. Integrating SSA and SVM machine learning algorithms improves decision-making processes, leading to better crop yield through early detection and timely nutrient management. It ensures that plants receive the necessary nutrients for optimal growth and development.

**Keywords:** Citrus leaves, classification, Sauvola segmentation, optimization, Salp Swarm Algorithm

## **APPROVAL**

This is to certify that this thesis conforms to acceptable standards of scholarly presentation and is fully adequate, in quality and scope, for the fulfilment of the requirements for the degree of Doctor of Philosophy

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**Professor Dr. Siow Heng Loke**  
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## **DECLARATION**

I hereby declare that the thesis submitted in fulfilment of the PhD degree is my own work and that all contributions from any other persons or sources are properly and duly cited. I further declare that the material has not been submitted either in whole or in part, for a degree at this or any other university. In making this declaration, I understand and acknowledge any breaches in this declaration constitute academic misconduct, which may result in my expulsion from the programme and/or exclusion from the award of the degree.

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## **LIST OF ABBREVIATION**

ANN	Artificial Neural Network
ASM	Angular Second Moments
AUC ROC	Area Under the Curve of the Receiver Operating Characteristic
CPE	Class Prediction Error
GLCM	Gray Level Co-Occurrence Metrix
HSV	Hue Saturation Value
PSNR	Peak Signal-To-Noise Ratio
RGB	Red Green Blue
SVM	Support Vector Machine
SSA	Salp Swarm Algorithm
SSIM	Structural Similarity Index Measure

# CHAPTER 1

## INTRODUCTION

This chapter discussed the background of the study, the problem statement, objectives, research questions, justifications and significance of the study. The contributions are separated into three parts: theoretical, practical and methodology. The end of this chapter discussed the scope of work and limitations of the research.

### **1.0 Background of the Study**

Citrus fruits were the world's second most-produced fruit in 2021, with 161.8 million tonnes produced on over 10.2 million hectares (Gonzatto & Santos, 2023). Based on the data (World Citrus Organisation, 2022), worldwide orange production reached 76.7 million tons in 2021. Brazil, India, China, the United States, Mexico, Spain, Egypt and Indonesia are the central producer countries. Oranges are the most crucial citrus crop, accounting for 75.57 million tonnes (46.7% of total citrus fruit output) over a harvested area of 9.93 million hectares. Tangerines are the second most significant fruits, with a harvest area of 3.11 million hectares and an output of 41.95 million tonnes (25.9% of citrus fruit production). Lime and lemon output were 20.83 million tonnes (12.87% of citrus fruit production) on 1.34 million hectares (FAO, 2019).

There are four prominent citrus varietal groups distinguished in the international market (Singh et al., 2021):

1. Sweet orange (*Citrus sinensis*)
2. Lemon (*Citrus lemon*) and lime (*Citrus aurantifolia*)
3. Mandarins (*Citrus reticulata*)
4. Grapefruit (*Citrus paradisi*)

Mandarins, which include tangors, tangerines, clementines, satsumas, and tangelos, are the second-largest group of cultivated citrus after sweet oranges and account for approximately 25% of worldwide citrus production (Ladaniya, 2008). Mandarin refers to a group of citruses characterized by thin skin and easy peeling. Tangerines are a type of mandarin with a dark orange to reddish-orange colour and are smaller than *Citrus reticulata* (Usman & Fatima, 2018). The appearance of citrus fruit, as shown in Figure 1.1, is attractive if it is uniform in size, has a bright yellow-orange colour, is evenly and smoothly free from physical defects or previous pest attacks, and has a good taste and consistent sweetness.

**Figure 1.1: *Citrus reticulata* Sp**



The quality of citrus fruit is determined by its appearance and taste. The quality of citrus fruits depends on several factors, including environmental conditions, nutrient intake, planting method, and treatment at and after harvest. Vitamins are important factors for a plant's growth and reproduction. Macronutrients, which include phosphorus (P), nitrogen (N), potassium (K), sulfur (S), calcium (Ca), and magnesium (Mg), are regularly used to perceive plant nutrients. Nutrient consumption is a massive aspect of cultivating citrus (Sun et al., 2018) (Jeyalakshmi & Radha, 2017). Micronutrients, which include zinc (Zn), boron (B), sodium (Na), copper (Cu),

nickel (Ni), iron (Fe), chlorine (Cl), cobalt (Co), silicon (Si), molybdenum (Mo), and manganese (Mn), are also needed by plants.

Plant nutrient deficiency symptoms are usually visible in leaves and fruits. Symptoms in leaves include marginal chlorosis, interveinal chlorosis, uniform chlorosis, distorted edges, reduction in leaf size, and necrosis. Although similar symptoms can occur in old and new leaves, the deficient nutrient may vary depending on other factors. Deficiency symptoms are widely used to identify nutrient responsiveness in citrus leaves (Jeyalakshmi & Radha, 2017).

Research on identifying nutrient deficiencies in plants using image processing techniques, especially in Indonesia, has not been conducted extensively, as most research focuses on identifying plant diseases. As an agrarian country, Indonesia must develop the latest agriculture technology. Nutrient deficiency in plants can result in decreased crop yields. Research on nutrient deficiencies has been conducted (Rahadiyan et al., 2023), but the research only had an accuracy of 59% because it only classified leaves based on texture. Other studies recommend a system equipped with an automatic identification system for plants (Jose et al., 2020).

Action must be taken to correct nutritional shortages in plants and reduce losses. Numerous auxiliary technologies have been created to automate information and data obtained from picture processing. This technology's design and use will greatly help to lower expenses, increase productivity, and decrease the need for chemical fertilisers. Plant nutrition detection is a new and popular research topic, but many challenges exist in applying image processing expertise and learning procedures for plant nutrition recognition. The suggested algorithms must be precise with a small error margin since inaccurate detection can seriously impair agricultural output.

The topic of intelligent classification systems study encompasses a wide range of activities, including feature extraction, segmentation, preprocessing of data, and classifier learning. Image identification is one of the most interesting challenges at hand. Techniques for image processing are essential for analysing smart agricultural technology. A detection and classification system using digital image processing technology would more accurately and quickly classify nutrient deficiency symptoms in citrus trees than a human eye could (Tian et al., 2020). It would enable farmers to take appropriate corrective action in farm management. The primary goal of this proposed research is to develop detection and classification methods for nutrient deficiencies in citrus leaves using image analysis techniques. The purpose is to perceive and quantify the colour and texture attributes of citrus leaves the use of the following steps (Sun, 2016):

- a. Image pre-processing
- b. Image segmentation (based on threshold and edge technique).
- c. Feature extraction (texture and colour extraction)
- d. Image classification using ANN and SVM
- e. Improved ANN and SVM using the Salp Swarm Algorithm (SSA)

In this modern era of technology, advancements in artificial intelligence have given rise to two primary paradigms in data analysis and understanding: machine learning and deep learning. As a subset of artificial intelligence, deep learning has garnered significant attention for its ability to tackle complex problems such as image recognition, natural language processing, and various other tasks. Deep learning has achieved remarkable feats across domains by utilising deep neural network architectures.

However, despite the remarkable popularity and achievements of deep learning, the older machine learning technology still holds a firm place in data analysis. Machine learning has proven effective in solving classification and prediction problems with lower resource requirements and higher interpretability. It is crucial to acknowledge that while deep learning can deliver exceptional results in complex scenarios, there are situations where the more established machine learning approach is more suitable. In some cases, limited dataset sizes or more superficial problem characteristics might not necessitate the level of complexity offered by deep learning. The research has a relatively small dataset (1200 data), and complex deep learning models might be complicated to train effectively and could suffer from overfitting. In such cases, simpler machine learning models might be more suitable. Complex deep learning models often require extended training and significant computational resources (Lai, 2019). The research only needs moderate accuracy, and machine learning models can provide satisfactory results. Several crucial considerations come into play when utilizing Deep Learning to process relatively small datasets. The foremost risk is overfitting, as complex Deep Learning models might memorize training data instead of grasping general patterns, leading to poor performance on new or real-world data. Addressing overfitting demands techniques such as regularization, dropout, and feature dimension reduction.

Additionally, limited dataset representation hampers the model's ability to discern intricate patterns, restricting its generalization capacity. Striking the right balance of model complexity is essential, as overly complex models can exacerbate overfitting issues on small datasets. Moreover, Deep Learning models' substantial computational demands, especially for deep neural networks or large models, might not yield proportional benefits on small datasets (Wang et al., 2021). Lastly, data

augmentation proves vital; introducing variations through simple transformations like rotations or cropping bolsters the training dataset's diversity, curbing overfitting risks and bolstering generalization capabilities. Because this system needs to be adopted by agriculture, especially farmers, choosing machine learning, which is easier to interpret results and requires less memory, will be better than using deep learning.

The application of image processing technology and the development of algorithms for identifying plant nutrition presented challenges. Large labelled datasets cannot be created because labelling pictures for training models is costly, time-consuming, and laborious (Meir et al., 2023). However, using electroencephalograms from plant pathology experts can significantly reduce the labelling time while incorporating expert knowledge into artificial intelligence models. Another challenge is identifying nutritional inadequacies in crops, which can be addressed through image processing and Convolutional Neural Networks (CNN) (Mishra et al., 2023). CNN has shown high accuracy in detecting and diagnosing nutrient deficits in different cultivars (Raju & Narasimhaiah, 2023). Additionally, there are various approaches and techniques in image processing for identifying disease conditions in plant leaves, but the optimal approach is yet to be determined (Baraskar et al., 2023). These challenges highlight the need for further research and development in image processing and learning algorithms for plant nutrition recognition.

Various classification algorithms have been used for leaves. These include K-Nearest Neighbor Classifier (KNN), Probabilistic Neural Network (PNN), Genetic Algorithm, Support Vector Machine (SVM), Principal Component Analysis, Artificial Neural Network (ANN), Fuzzy Logic, Decision Tree (DT), Naive Bayes (NB), Radial Basis Function Neural Network, Random Forest, and Self Organizing Map (Bhosale et al., 2023) (Venkatakrishnan & Natarajan, 2023) (Aslan, 2023) (Mangaoang &